



Segregation of Duties for Infor-Lawson S3 and Landmark Software



Segregation of Duties

About Us

Benefits

Definition

Features

Services

Related Products



About Us

Segregation of Duties for Infor-Lawson

Committed to your success.

Founded in 1983, Kinsey has provided software sales, implementation, support and development for 32 years.

Lawson reseller and implementation partner since 1997.

Lawson certified systems integrator partner.

Lawson complementary software partner.

Lawson's "Go to" implementation partner for public sector.

Provide complementary Lawson software products.

Implemented solutions for over 120 Lawson accounts.

Segregation of Duties

Security Solutions for Infor-Lawson

✓ 290 Delivered Policies

With our delivered policies covering Asset Management, Cash Management, Closing Procedures, Inventory Control, Order Processing, Payables Management, Receivables Management, and Payroll you will receive immediate benefits from the SoD reports. You can also define your own policies using the SoD admin screen,

✓ Over 2,500 Predefined Rules (S3)

Our Lawson application consultants have defined over 2,500 rules that are used to validate that your security conforms to the 290 SoD delivered policies. You have full flexibility over adding or changing any of the rules.

✓ Automatic Email Notifications

Once you have defined a report simply add it to our schedule so you can be notified when a security change causes an SoD violation.

The Segregation of Duties application is designed to ensure that you have the proper checks and balances built in your security model to prevent fraudulent activity. In essence, SoD implements an appropriate level of checks and balances upon the activities of individuals. With the added flexibility of our ad-hoc reporting you can create, save, and email critical reports as needed.

Benefits

Segregation of Duties for Infor-Lawson



Benefits

Segregation of Duties for Infor-Lawson



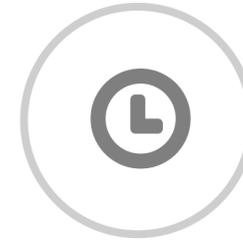
Improved Capabilities

With a library of 290 SoD policies our application provides in-depth setup analysis of Lawson Security data.



Expanded Offerings

User monitoring and security modeling provide critical insight to your Lawson system.



Time Saver

Custom Security violation and audit reports can save you hours when evaluating user security.



Saves Money

Our clients have significantly reduced their labor intensive SoD remediation efforts.



Audit Compliant

Our tools assist with developing and maintaining an audit compliant security model as well as investigating questionable transaction activity.



Cost Effective

Our SoD tools are very affordable when compared to competing products.

Features

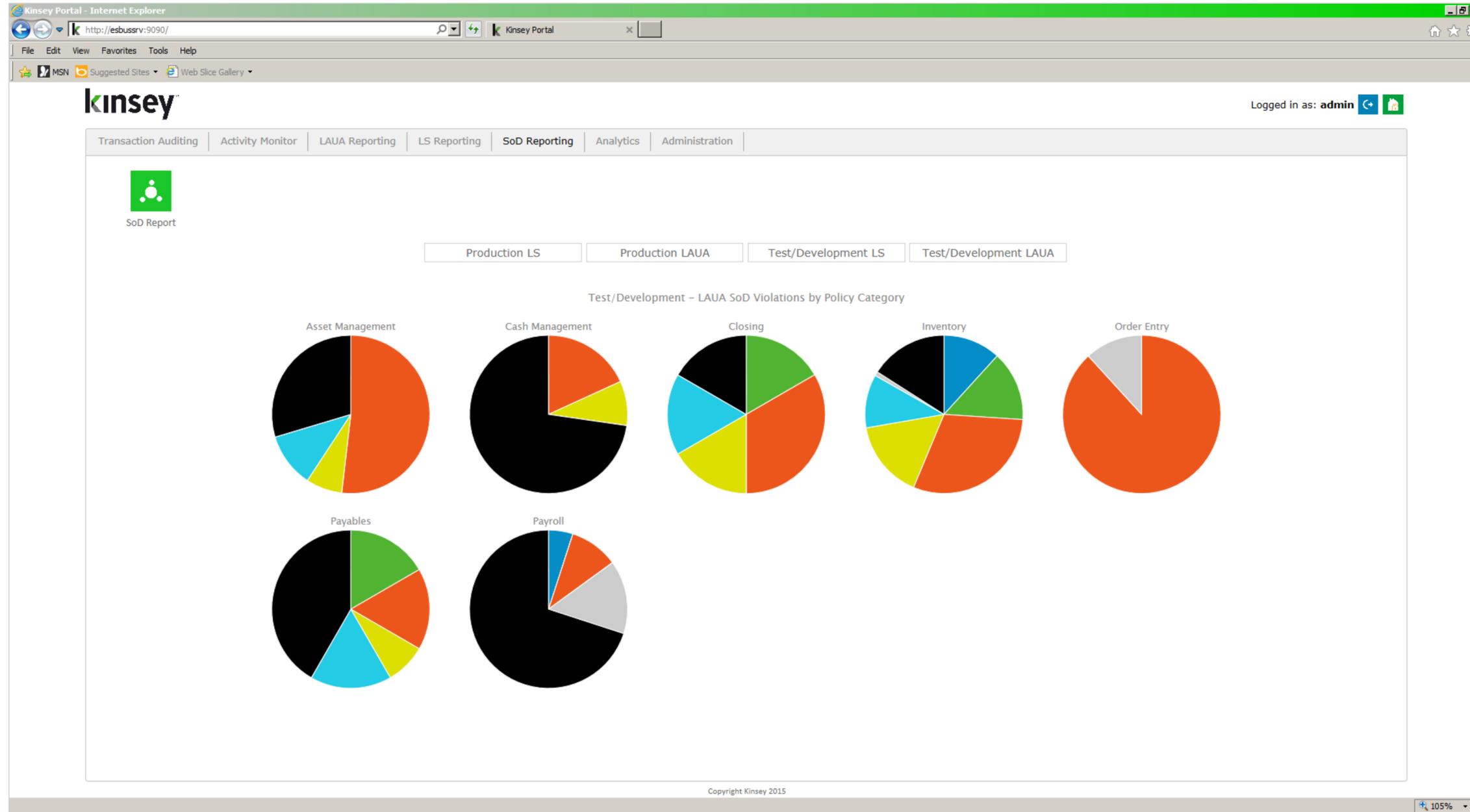
Segregation of Duties for Infor-Lawson





Security Dashboard

Segregation of Duties for Infor-Lawson



Features

- Library of 290 Policies
- Over 2,500 SoD Rules
- Graphical Reference
- Unlimited Ad-hoc Reports
- Email Notifications
- Report Scheduling



Segregation of Duties

Security & Auditing Solutions for Infor-Lawson

SoD Policies

- 9 Predefined Categories
- Policy Ratings
- Create Unlimited New Categories
- Create Unlimited New Policies
- Create Unlimited New Rules
- Modify any Existing Policies

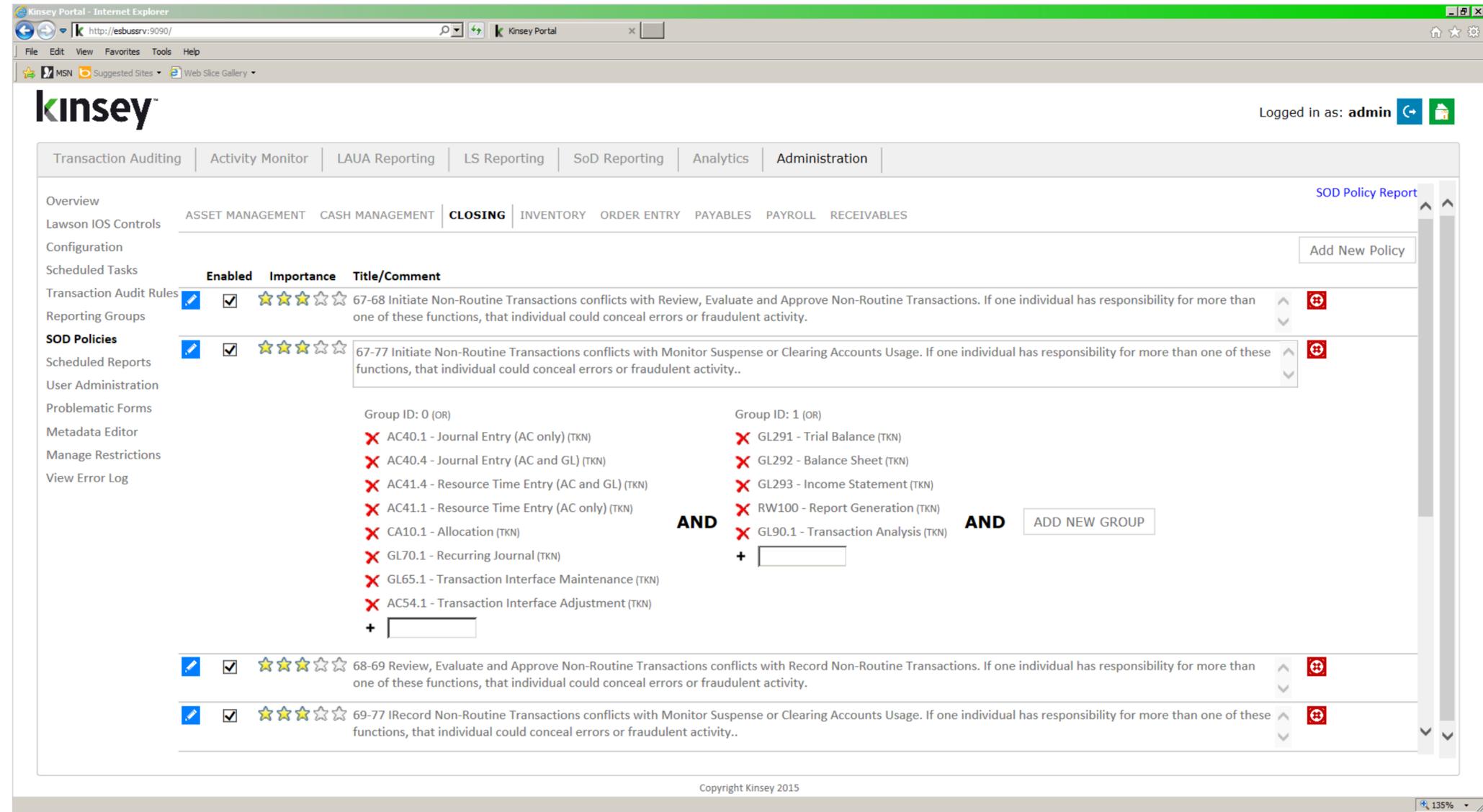
The screenshot shows the Kinsey Portal interface. The top navigation bar includes: Transaction Auditing, Activity Monitor, LAUA Reporting, LS Reporting, Landmark, SoD Reporting, Analytics, and Administration. The user is logged in as 'admin'. The main content area is titled 'SOD Policies' and features a table of predefined policies. The table has columns for ID, Enabled, Importance, and Title/Comment. The policies listed are:

ID	Enabled	Importance	Title/Comment
33	Yes	3 stars	Initiate Purchase of Inventory conflicts with Input Purchase Orders. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation. (15-16)
34	Yes	3 stars	Initiate Purchase of Inventory conflicts with Verify and Process Receipt of Inventory. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation. (15-18)
35	Yes	3 stars	Initiate Purchase of Inventory conflicts with Schedule Inventory Production. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation.. (15-19)
36	Yes	3 stars	Initiate Purchase of Inventory conflicts with Authorize Production or Transfer Requests. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation.. (15-20)
37	Yes	3 stars	Initiate Purchase of Inventory conflicts with Receive / Transfer Goods to / from Manufacturing. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation. (15-21)
38	Yes	3 stars	Initiate Purchase of Inventory conflicts with Manufacturing of Inventory. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation. (15-22)
39	Yes	3 stars	Initiate Purchase of Inventory conflicts with Initiate Checks for Inventory Purchases. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation. (15-5)
40	Yes	3 stars	Initiate Purchase of Inventory conflicts with Ship Inventory. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation. (15-24)
41	Yes	3 stars	Record Inventory Transactions conflicts with Initiate Purchase of Inventory. If one individual has responsibility for more than one of these functions, that individual could misappropriate assets and conceal the misappropriation. (15-25)

SoD Policies

Define Rules for

- Form Conflicts
- Role Conflicts
- Security Class Conflicts



kinsey | Logged in as: admin

Transaction Auditing | Activity Monitor | LAUA Reporting | LS Reporting | SoD Reporting | Analytics | Administration

Overview | Lawson IOS Controls | ASSET MANAGEMENT | CASH MANAGEMENT | **CLOSING** | INVENTORY | ORDER ENTRY | PAYABLES | PAYROLL | RECEIVABLES

Configuration | Add New Policy

Enabled	Importance	Title/Comment																														
<input checked="" type="checkbox"/>	☆☆☆☆☆	67-68 Initiate Non-Routine Transactions conflicts with Review, Evaluate and Approve Non-Routine Transactions. If one individual has responsibility for more than one of these functions, that individual could conceal errors or fraudulent activity.																														
<input checked="" type="checkbox"/>	☆☆☆☆☆	67-77 Initiate Non-Routine Transactions conflicts with Monitor Suspense or Clearing Accounts Usage. If one individual has responsibility for more than one of these functions, that individual could conceal errors or fraudulent activity..																														
		<table border="0"> <tr> <td>Group ID: 0 (OR)</td> <td></td> <td>Group ID: 1 (OR)</td> </tr> <tr> <td>✗ AC40.1 - Journal Entry (AC only) (TKN)</td> <td></td> <td>✗ GL291 - Trial Balance (TKN)</td> </tr> <tr> <td>✗ AC40.4 - Journal Entry (AC and GL) (TKN)</td> <td></td> <td>✗ GL292 - Balance Sheet (TKN)</td> </tr> <tr> <td>✗ AC41.4 - Resource Time Entry (AC and GL) (TKN)</td> <td></td> <td>✗ GL293 - Income Statement (TKN)</td> </tr> <tr> <td>✗ AC41.1 - Resource Time Entry (AC only) (TKN)</td> <td></td> <td>✗ RW100 - Report Generation (TKN)</td> </tr> <tr> <td>✗ CA10.1 - Allocation (TKN)</td> <td>AND</td> <td>✗ GL90.1 - Transaction Analysis (TKN)</td> </tr> <tr> <td>✗ GL70.1 - Recurring Journal (TKN)</td> <td></td> <td>+ <input type="text"/></td> </tr> <tr> <td>✗ GL65.1 - Transaction Interface Maintenance (TKN)</td> <td></td> <td></td> </tr> <tr> <td>✗ AC54.1 - Transaction Interface Adjustment (TKN)</td> <td></td> <td></td> </tr> <tr> <td>+ <input type="text"/></td> <td></td> <td></td> </tr> </table>	Group ID: 0 (OR)		Group ID: 1 (OR)	✗ AC40.1 - Journal Entry (AC only) (TKN)		✗ GL291 - Trial Balance (TKN)	✗ AC40.4 - Journal Entry (AC and GL) (TKN)		✗ GL292 - Balance Sheet (TKN)	✗ AC41.4 - Resource Time Entry (AC and GL) (TKN)		✗ GL293 - Income Statement (TKN)	✗ AC41.1 - Resource Time Entry (AC only) (TKN)		✗ RW100 - Report Generation (TKN)	✗ CA10.1 - Allocation (TKN)	AND	✗ GL90.1 - Transaction Analysis (TKN)	✗ GL70.1 - Recurring Journal (TKN)		+ <input type="text"/>	✗ GL65.1 - Transaction Interface Maintenance (TKN)			✗ AC54.1 - Transaction Interface Adjustment (TKN)			+ <input type="text"/>		
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Note: SoD Rules for Landmark security can only be created using Role or Security Class objects.



Segregation of Duties

Security & Auditing Solutions for Infor-Lawson

SoD Violation Reports

By User by Policy

By Policy by User

By Shared Role Assignments by Policy

View Differences Report

Export to Microsoft Excel

Create Unlimited Saved Reports

Scheduled Email Notifications

Segregation of Duties Report (Financial policies)
Production - LS3SERVER - Profile: APS
User - Policy

Security Violations for User: **Frank Nelson (fnelson)** [Expand All](#)

PAYROLL
Policy: 160 ★★☆☆☆
Description: Edit Payroll Master File conflicts with Initiate Payroll Check. Appropriate segregation of duties should exist between the preparation, disbursement, and human resources (or personnel management) functions in order to minimize the potential for concealment of fraud. (41-33)

Edit Payroll Master File:
HR11.1 (TKN) or PA31.1 (TKN) or PA52.4 (TKN)

Initiate Payroll Check:
PA13.2 (TKN) or PR135 (TKN) or PR137 (TKN) or PR140 (TKN) or PR30.1 (TKN) or PR35.1 (TKN) or PR35.2 (TKN) or PR35.3 (TKN) or PR35.4 (TKN) or PR35.5 (TKN) or PR35.6 (TKN) or PR35.8 (TKN) or PR35.9 (TKN) or PR36.1 (TKN) or PR37.1 (TKN) or PR530 (TKN)

Type	Object	Security Class	Description	Rule	Avail FC	Prediction
TKN	HR11.1	HRSetup	Employee	GRANTED	+,-,A,C,D,F,G,H,I,M,N,P,R,T,W,X,Y,Z	ALL_ACCESS

Type	Object	Security Class	Description	Rule	Avail FC	Prediction
TKN	PA13.2	PASetup	Multiple Position and Job History	GRANTED	A,C,D,F,I,N,P	ALL_ACCESS
TKN	PR30.1	PRSetup	Standard Time Record	GRANTED	+,-,A,C,H,I,N,P	ALL_ACCESS
TKN	PR35.1	PRSetup	Time Entry	GRANTED	+,-,A,C,I,N,P,R,T	ALL_ACCESS
TKN	PR35.2	PRSetup	Detail Time Entry	GRANTED	+,-,A,C,I,N,P,R,T	ALL_ACCESS
TKN	PR35.3	PRSetup	Speed Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR35.4	PRSetup	Step and Grade, Grade Range Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR35.5	PRSetup	Attendance Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR35.6	PRSetup	Activity Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR35.8	PRSetup	Position Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR36.1	PRSetup	Time Record Entry	GRANTED	+,-,A,C,I,N,P,T,X	ALL_ACCESS
TKN	PR37.1	PRSetup	Batch Time Control	GRANTED	+,-,A,C,I,R	ALL_ACCESS

Security Violations for User: **Lawson Lawson (lawson)** [Expand All](#)

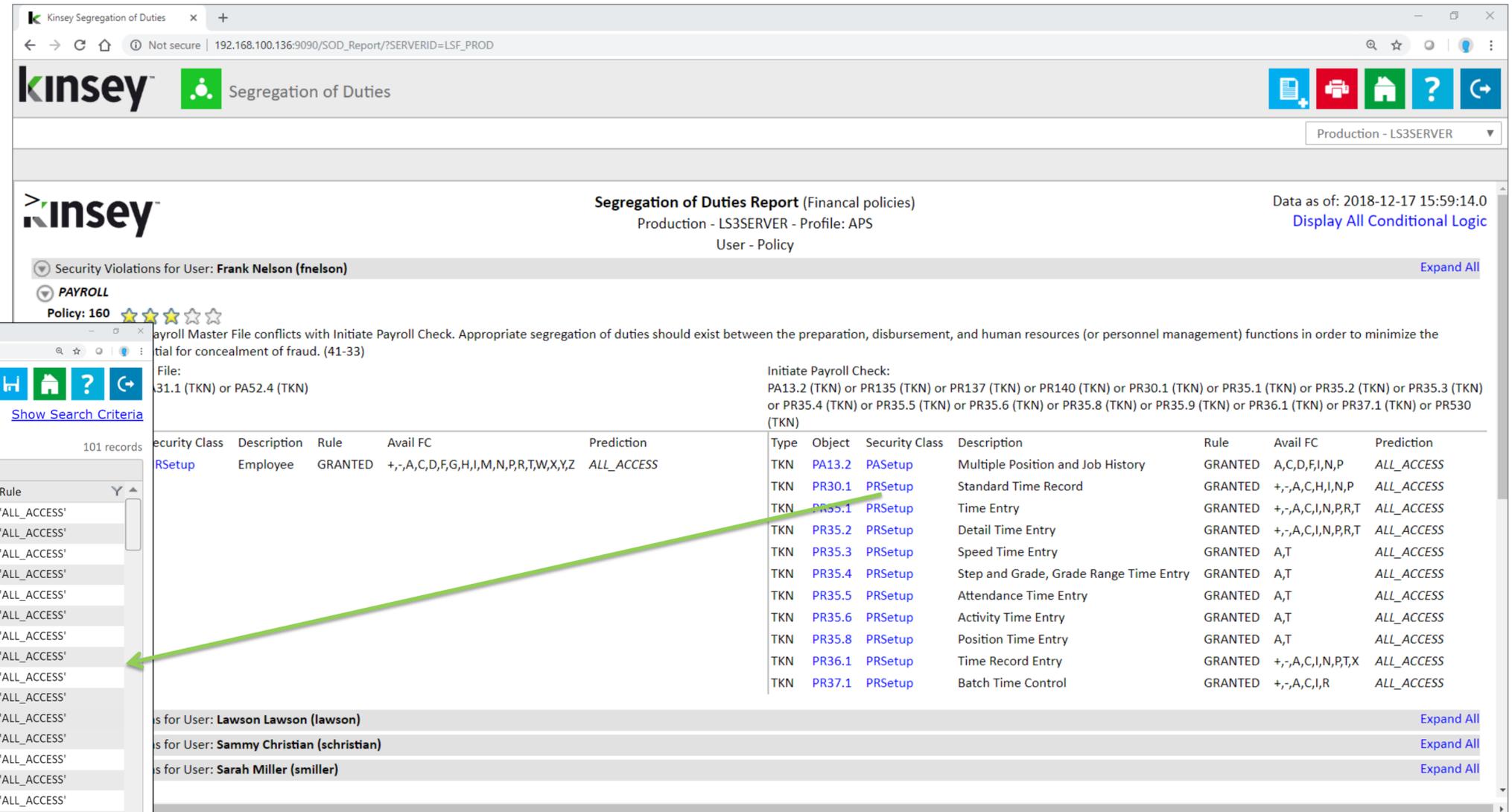
Security Violations for User: **Sammy Christian (schristian)** [Expand All](#)

Security Violations for User: **Sarah Miller (smiller)** [Expand All](#)

Sample Policy: Edit Payroll Master File conflicts with Initiate Payroll Check. Appropriate segregation of duties should exist between the preparation, disbursement, and human resources (or personnel management) functions in order to minimize the potential for concealment of fraud.

SoD Violation Report (S3)

View, Print or Export violations by User or Policy



Segregation of Duties Report (Financial policies)
 Production - LS3SERVER - Profile: APS
 User - Policy

Data as of: 2018-12-17 15:59:14.0
[Display All Conditional Logic](#)

Security Violations for User: **Frank Nelson (fnelson)** [Expand All](#)

PAYROLL
 Policy: 160

Payroll Master File conflicts with Initiate Payroll Check. Appropriate segregation of duties should exist between the preparation, disbursement, and human resources (or personnel management) functions in order to minimize the potential for concealment of fraud. (41-33)

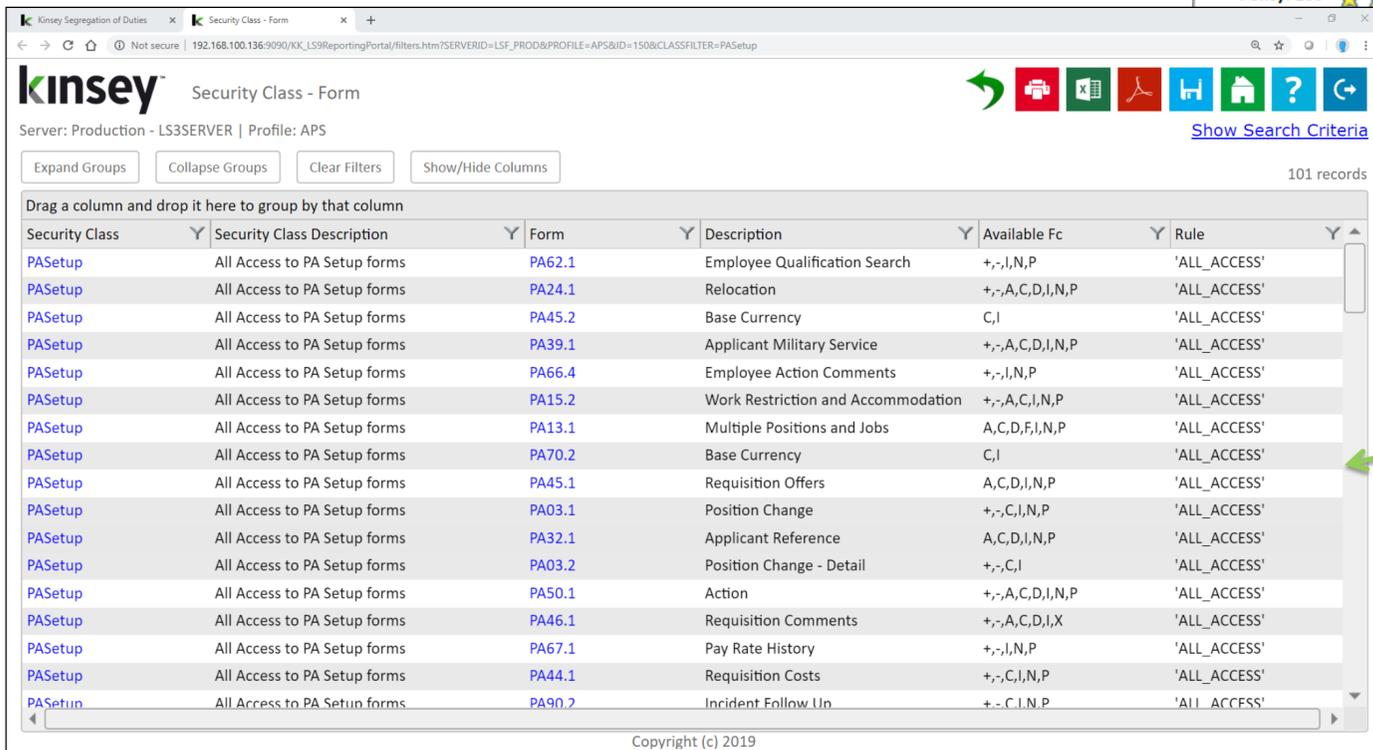
File: 31.1 (TKN) or PA52.4 (TKN)

Security Class	Description	Rule	Avail FC	Prediction
PRSetup	Employee	GRANTED	+,-,A,C,D,F,G,H,I,M,N,P,R,T,W,X,Y,Z	ALL_ACCESS

Initiate Payroll Check:
 PA13.2 (TKN) or PR135 (TKN) or PR137 (TKN) or PR140 (TKN) or PR30.1 (TKN) or PR35.1 (TKN) or PR35.2 (TKN) or PR35.3 (TKN) or PR35.4 (TKN) or PR35.5 (TKN) or PR35.6 (TKN) or PR35.8 (TKN) or PR35.9 (TKN) or PR36.1 (TKN) or PR37.1 (TKN) or PR530 (TKN)

Type	Object	Security Class	Description	Rule	Avail FC	Prediction
TKN	PA13.2	PASetup	Multiple Position and Job History	GRANTED	A,C,D,F,I,N,P	ALL_ACCESS
TKN	PR30.1	PRSetup	Standard Time Record	GRANTED	+,-,A,C,H,I,N,P	ALL_ACCESS
TKN	PR35.1	PRSetup	Time Entry	GRANTED	+,-,A,C,I,N,P,R,T	ALL_ACCESS
TKN	PR35.2	PRSetup	Detail Time Entry	GRANTED	+,-,A,C,I,N,P,R,T	ALL_ACCESS
TKN	PR35.3	PRSetup	Speed Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR35.4	PRSetup	Step and Grade, Grade Range Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR35.5	PRSetup	Attendance Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR35.6	PRSetup	Activity Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR35.8	PRSetup	Position Time Entry	GRANTED	A,T	ALL_ACCESS
TKN	PR36.1	PRSetup	Time Record Entry	GRANTED	+,-,A,C,I,N,P,T,X	ALL_ACCESS
TKN	PR37.1	PRSetup	Batch Time Control	GRANTED	+,-,A,C,I,R	ALL_ACCESS

Security Violations for User: **Lawson Lawson (lawson)** [Expand All](#)
 Security Violations for User: **Sammy Christian (schristian)** [Expand All](#)
 Security Violations for User: **Sarah Miller (smiller)** [Expand All](#)



Server: Production - LS3SERVER | Profile: APS

Expand Groups Collapse Groups Clear Filters Show/Hide Columns

Drag a column and drop it here to group by that column

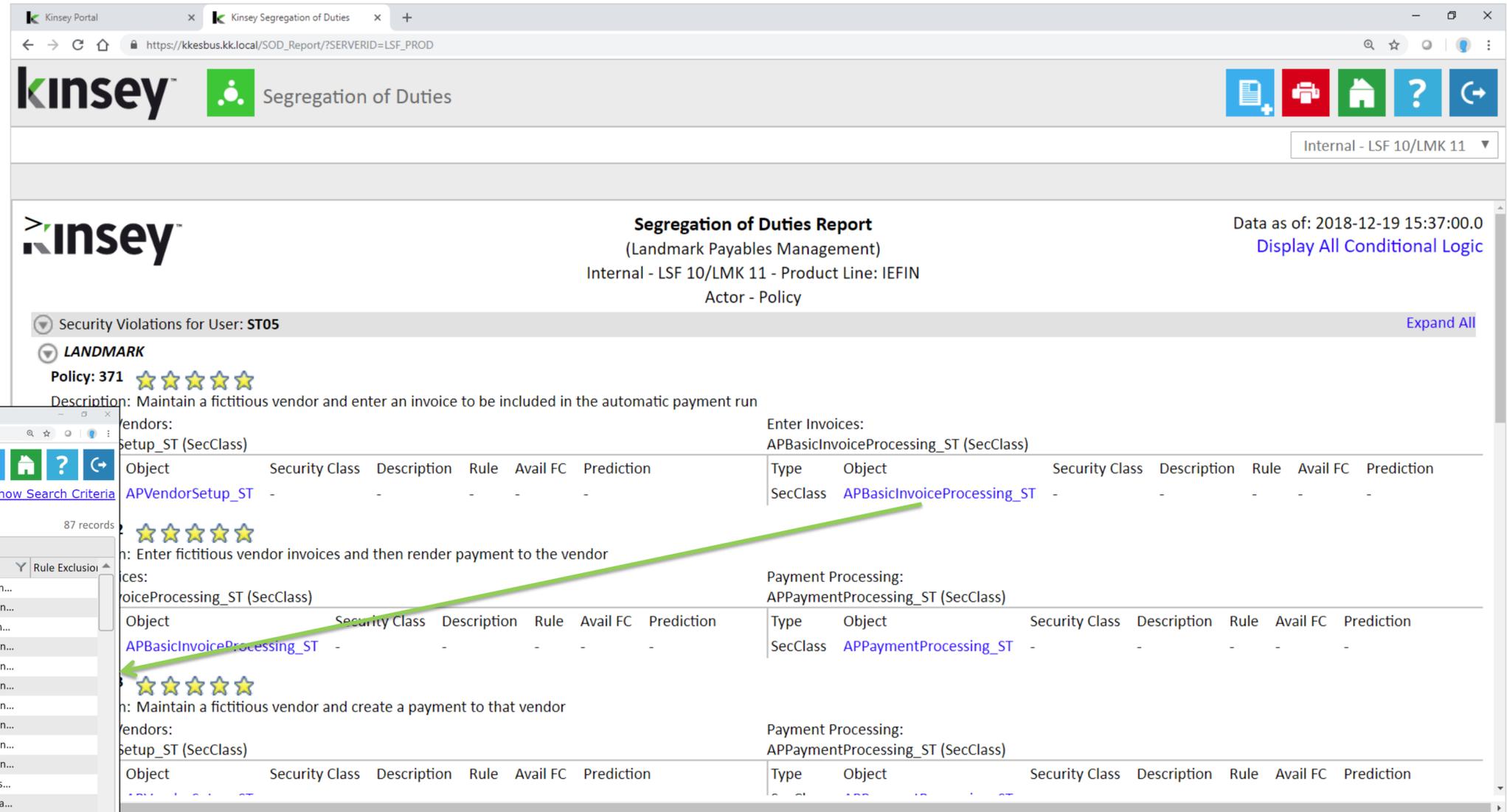
Security Class	Security Class Description	Form	Description	Available Fc	Rule
PASetup	All Access to PA Setup forms	PA62.1	Employee Qualification Search	+,-,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA24.1	Relocation	+,-,A,C,D,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA45.2	Base Currency	C,I	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA39.1	Applicant Military Service	+,-,A,C,D,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA66.4	Employee Action Comments	+,-,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA15.2	Work Restriction and Accommodation	+,-,A,C,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA13.1	Multiple Positions and Jobs	A,C,D,F,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA70.2	Base Currency	C,I	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA45.1	Requisition Offers	A,C,D,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA03.1	Position Change	+,-,C,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA32.1	Applicant Reference	A,C,D,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA03.2	Position Change - Detail	+,-,C,I	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA50.1	Action	+,-,A,C,D,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA46.1	Requisition Comments	+,-,A,C,D,I,X	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA67.1	Pay Rate History	+,-,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA44.1	Requisition Costs	+,-,C,I,N,P	'ALL_ACCESS'
PASetup	All Access to PA Setup forms	PA90.2	Incident Follow Up	+,-,C,I,N,P	'ALL_ACCESS'

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Drill to our Security Reports for more insight on any Role, Security Class or Form.

SoD Violation Report (Landmark)

View, Print or Export violations by User or Policy



Segregation of Duties Report
 (Landmark Payables Management)
 Internal - LSF 10/LMK 11 - Product Line: IEFIN
 Actor - Policy

Data as of: 2018-12-19 15:37:00.0
[Display All Conditional Logic](#)

Security Violations for User: **ST05** [Expand All](#)

LANDMARK
 Policy: 371 ★★★★★
 Description: Maintain a fictitious vendor and enter an invoice to be included in the automatic payment run

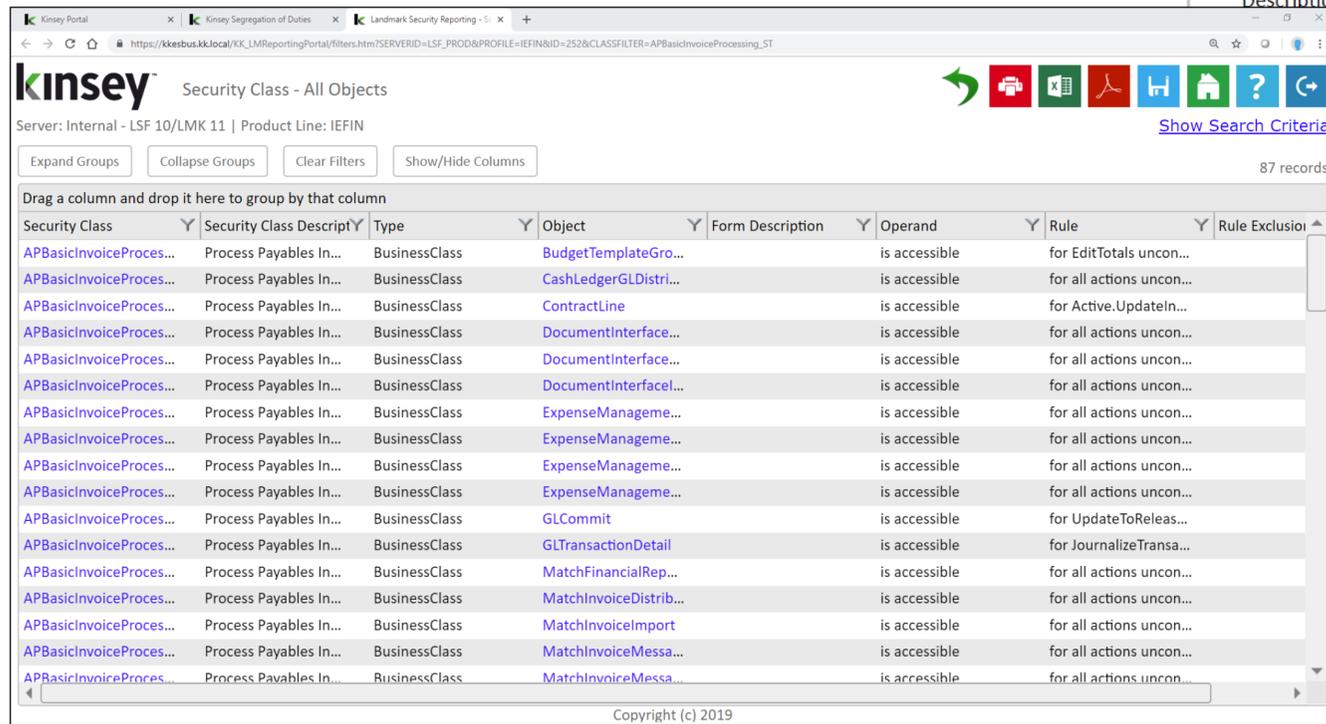
Object	Security Class	Description	Rule	Avail FC	Prediction
APVendorSetup_ST	-	-	-	-	-
APBasicInvoiceProcessing_ST	-	-	-	-	-

Enter Invoices:
 APBasicInvoiceProcessing_ST (SecClass)

Type	Object	Security Class	Description	Rule	Avail FC	Prediction
SecClass	APBasicInvoiceProcessing_ST	-	-	-	-	-

Payment Processing:
 APPaymentProcessing_ST (SecClass)

Type	Object	Security Class	Description	Rule	Avail FC	Prediction
SecClass	APPaymentProcessing_ST	-	-	-	-	-



Security Class - All Objects
 Server: Internal - LSF 10/LMK 11 | Product Line: IEFIN

Expand Groups Collapse Groups Clear Filters Show/Hide Columns

87 records

Security Class	Security Class Description	Type	Object	Form Description	Operand	Rule	Rule Exclusion
APBasicInvoiceProces...	Process Payables In...	BusinessClass	BudgetTemplateGro...		is accessible	for EditTotals uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	CashLedgerGLDistri...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	ContractLine		is accessible	for Active.UpdateIn...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	DocumentInterface...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	DocumentInterfacel...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	ExpenseManageme...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	ExpenseManageme...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	ExpenseManageme...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	ExpenseManageme...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	GLCommit		is accessible	for UpdateToReleas...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	GLTransactionDetail		is accessible	for JournalizeTransa...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	MatchFinancialRep...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	MatchInvoiceDistrib...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	MatchInvoiceImport		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	MatchInvoiceMessa...		is accessible	for all actions uncon...	
APBasicInvoiceProces...	Process Payables In...	BusinessClass	MatchInvoiceMessa...		is accessible	for all actions uncon...	

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Drill to our Security Reports for more insight on any Role or Security Class.

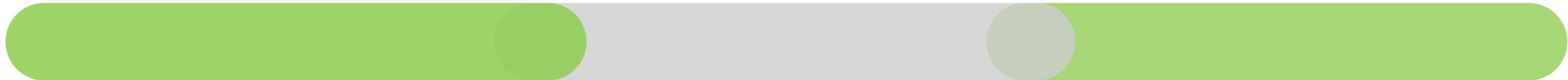
SoD Reporting

Our staff of certified security consultants will help you through the process of tuning your security model to adhere to SoD standards.



Security Consulting

We can also help you completely revise your security model to fit your audit requirements.



ERP Consulting

Kinsey consultants have been implementing net new Lawson implementations for over 18 years. Our consultants are Lawson certified in every application suite.





Related Products

Security & Auditing Solutions for Infor-Lawson



Security Reporting

Provides detailed analysis for either LS or Landmark security including all assignments for Users, Actors, Roles, Security Classes, Tokens, Rules, Attributes, Business Classes, Executables and Modules.



Security Modeling & Utilities

Create 'what-if' simulations in your security model without changing any actual security settings and report back on what users are affected. Simulation options include User-Role, Role-Security Class, Security Class object, or Form Rule assignments.



Security Auditing

Our security audit report enables you to monitor all changes to your security model including the before and after values of any specific setting.



Related Products

Security & Auditing Solutions for Infor-Lawson



Activity Monitor

Provide insight and control over your Lawson S3 applications by monitoring user activity. Use this information to validate your Lawson security settings, monitor user activity, measure peak usage times or monitor software usage patterns.



SoD Remediation

Our SoD Remediation tools provide further insight into SoD violations by uniquely identifying conflicts through actual user activity.



Transaction Auditing

Transaction Auditing extends Activity Monitor by digging deeper into user field level activity for the Lawson S3 applications. TA looks at all metadata to see what fields were viewed, added, changed or deleted and the corresponding values.



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Please Contact Us!

We hope you found this beneficial

